SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Instruction 1	(b).		Filed pursu	d pursuant to Section 16(a) of the Securities Exchange Act of 1934						
			or S	ection 30(h) of the Ír	nvestment Cor	mpany Act of 1940				
1. Name and Ad <u>Reuille Ad</u>	ldress of Reporting F am	Person*		_	0	Symbol DUP INC /DE/ [ationship of Repor k all applicable) Director Officer (give titl below)	10% (Owner (specify
(Last) 225 W. WAS	(First) HINGTON ST.	(Middle)		ate of Earliest Trans)1/2020	action (Month	/Day/Year)		SVP & Chief		,
(Street)			4. If	Amendment, Date o	f Original File	d (Month/Day/Year)	6. Indi [,] Line)	vidual or Joint/Gro	up Filing (Check	Applicable
INDIANAPO	OLIS IN	46204					X	Form filed by C	ne Reporting Per	son
(City)	(State)	(Zip)						Form filed by M Person	lore than One Re	porting
	-	Table I - No	n-Derivative	Securities Acq	uired, Dis	posed of, or Benef	icially	/ Owned		
1. Title of Secu	rity (Instr. 3)		2. Transaction	2A. Deemed	3. Transaction	4. Securities Acquired (A		5. Amount of	6. Ownership	7. Nature

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	de V Amount (A) or Price Reported (D) Price (Instr. 3 and 4)			(1150.4)			
Common Stock	04/01/2020		A ⁽¹⁾		2,113	A	(1)	5,922	D	
Common Stock	04/01/2020		F		235 ⁽²⁾	D	\$54.86	5,687	D	
Common Stock								367 ⁽³⁾	I	401 (K) Plan

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(* 5 / 1**	,	,		,					,			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Exp		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Award of restricted stock under a stock incentive program established pursuant to the Simon Property Group, L.P. 2019 Stock Incentive Plan. The restricted stock included within such award is subject to forfeiture unless recipient satisfies vesting requirements.

2. Represents tax withholding obligations in connection with the vesting of restricted stock.

3. Includes 56 shares of common stock acquired pursuant to the Issuer's dividend reinvestment plan since the Form 4 filed by the Reporting Person on April 3, 2019.

<u>/s/ Adam Reuille by his</u> <u>attorney-in-fact, Alexander</u> 04/03/2020 L.W. Snyder

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See